



SIF BANAT-CRIȘANA

General Meeting of Shareholders convened for April 28/29, 2015

## LIST OF DOCUMENTS FOR THE APPLICATION FILE

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- 1 Nomination for administrator office<sup>1</sup>  
*If the nomination is made by a shareholder, a statement proving the quality of shareholder at the time of nomination shall be presented.*

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  - 2 *curriculum vitae* dated and signed, containing the general presentation of professional experience, revealing the fulfilment of the conditions provided under Art. 23 Para (1) Letter d) Items 5 and 6, and Letter e); of ASF Regulation no. 9/2014

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  - 3 copy of the identity document;

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  - 4 certified copy of the education degree;

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  - 5 criminal record certificate, submitted during its validity term, in accordance with the legal provisions in force, in original;

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  - 6 fiscal record certificate, submitted during its validity term, in accordance with the legal provisions in force, in original;

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  - 7 affidavit, under handwritten signature, prepared in accordance with Annexe 1C, revealing that he/she does not breach the provisions of Law No. 31/1990, of GEO No. 32/2012 and of the regulations in force regarding the investment management activity, and regarding the observance of the requirements provided under Art. 23 Para (1) Letters c) and d) of ASF Regulation no. 9/2014;  
[annexe 1 below]

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  - 8 affidavit, under handwritten signature, prepared in accordance with Annexe 1D, containing all individual holdings in, and the holdings related to other persons involved in and closely linked with, any company regulated by Law No. 31/1990 and representing at least 10% of the share capital or of the voting rights.  
[annexe 2 below]
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<sup>1</sup> Pursuant to art. 137<sup>^</sup>1 in Law no. 31/1990, candidates for administrator offices can be nominated by current members of the board of directors (i.e. administrators) or shareholders.

## STATEMENT

The undersigned .....,  
domiciled in ....., holder of ID type .....<sup>1</sup>, Series .....  
No. ...., issued by ..... on ....., valid until ....., PNC  
....., as .....<sup>2</sup> of the  
investment management company .....<sup>3</sup>,  
hereby declare that I am not in breach of the provisions of Law No. 31/1990, of GEO No. 32/2012 and  
the legal regulations in force regarding the investment management activity, and that I comply with  
the requirements mentioned under Art. 23 Para (1) Letter .....<sup>4</sup> of FSA Regulation No. 9/2014 on  
the authorisation and operation of investment management companies, of undertakings for collective  
investment in transferable securities and of depositaries of undertakings for collective investment in  
transferable securities.

Given and signed today, on my own account, fully aware that false statements are punished according  
to law.

Date .....

Signature .....

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<sup>1</sup> To be filled in with IB for identity bulletin or IC for identity card or PAS for passport, in the case of foreign  
natural persons.

<sup>2</sup> To be filled in with the position held: member in the board or administration/supervisory board,  
replacement of the director/member of the executive board.

<sup>3</sup> To be filled in with the name of the investment management company.

<sup>4</sup> Letters c) and d) shall be filled in for members of the board of administration/supervisory board, and b),  
c) and e) for directors / members of the executive board and persons replacing them.



## STATEMENT

The undersigned ....., domiciled in ....., holder of ID type .....<sup>1</sup>, Series ..... No. ...., issued by ..... on ....., valid until ....., PNC ....., as .....<sup>2</sup> of the investment management company .....<sup>3</sup>, hereby declare that I hold as follows, which represent at least 10% of the share capital or voting rights:

a) individual holdings

No.	Name of company where shares are held	The country of residence of the company where shares are held	Participation in the undertaking's share capital/voting rights (%)

b) holdings regarding other persons involved

No.	Person involved	Name of undertaking where shares are held	The country of residence of the company where shares are held	Participation of the person involved in the company's share capital/voting rights (%)

c) holdings regarding other closely related persons

No.	Closely related person	Name of company where shares are held	The country of residence of the company where shares are held	Participation of the closely related person in the company's share capital/voting rights (%)

Given and signed today, on my own account, fully aware that false statements are punished according to law.

Date .....

Signature .....

<sup>1</sup> To be filled in with IB for identity bulletin or IC for identity card or PAS for passport, in the case of foreign natural persons.

<sup>2</sup> To be filled in with the position held: member in the board or administration/supervisory board, replacement of the director/member of the executive board.

<sup>3</sup> To be filled in with the name of the investment management company.