



SIF BANAT-CRIȘANA

General Meeting of Shareholders convened for March 2/3, 2015

LIST OF DOCUMENTS FOR THE APPLICATION FILE

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- 1 Nomination for administrator office

 - 2 *curriculum vitae* dated and signed, containing the general presentation of professional experience, revealing the fulfilment of the conditions provided under Art. 23 Para (1) Letter d) Items 5 and 6, and Letter e); of ASF Regulation no. 9/2014

 - 3 copy of the identity document;

 - 4 certified copy of the education degree;

 - 5 criminal record certificate, submitted during its validity term, in accordance with the legal provisions in force, in original;

 - 6 fiscal record certificate, submitted during its validity term, in accordance with the legal provisions in force, in original;

 - 7 affidavit, under handwritten signature, prepared in accordance with Annexe 1C, revealing that he/she does not breach the provisions of Law No. 31/1990, of GEO No. 32/2012 and of the regulations in force regarding the investment management activity, and regarding the observance of the requirements provided under Art. 23 Para (1) Letters c) and d) of ASF Regulation no. 9/2014;
[annexe 1 below]

 - 8 affidavit, under handwritten signature, prepared in accordance with Annexe 1D, containing all individual holdings in, and the holdings related to other persons involved in and closely linked with, any company regulated by Law No. 31/1990 and representing at least 10% of the share capital or of the voting rights.
[annexe 2 below]
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¹ Pursuant to art. 137[^]1 in Law no. 31/1990, candidates for administrator offices can be nominated by current members of the board of directors (i.e. administrators) or shareholders.

STATEMENT

The undersigned,
domiciled in, holder of ID type¹, Series
No., issued by on, valid until, PNC
.....² of the
investment management company³,
hereby declare that I am not in breach of the provisions of Law No. 31/1990, of GEO No. 32/2012 and
the legal regulations in force regarding the investment management activity, and that I comply with
the requirements mentioned under Art. 23 Para (1) Letter⁴ of FSA Regulation No. 9/2014 on
the authorisation and operation of investment management companies, of undertakings for collective
investment in transferable securities and of depositaries of undertakings for collective investment in
transferable securities.

Given and signed today, on my own account, fully aware that false statements are punished according
to law.

Date

Signature

¹ To be filled in with IB for identity bulletin or IC for identity card or PAS for passport, in the case of foreign
natural persons.

² To be filled in with the position held: member in the board or administration/supervisory board,
replacement of the director/member of the executive board.

³ To be filled in with the name of the investment management company.

⁴ Letters c) and d) shall be filled in for members of the board of administration/supervisory board, and b),
c) and e) for directors / members of the executive board and persons replacing them.

STATEMENT

The undersigned, domiciled in, holder of ID type¹, Series No., issued by on, valid until, PNC, as² of the investment management company³, hereby declare that I hold as follows, which represent at least 10% of the share capital or voting rights:

a) individual holdings

No.	Name of company where shares are held	The country of residence of the company where shares are held	Participation in the undertaking's share capital/voting rights (%)

b) holdings regarding other persons involved

No.	Person involved	Name of undertaking where shares are held	The country of residence of the company where shares are held	Participation of the person involved in the company's share capital/voting rights (%)

c) holdings regarding other closely related persons

No.	Closely related person	Name of company where shares are held	The country of residence of the company where shares are held	Participation of the closely related person in the company's share capital/voting rights (%)

Given and signed today, on my own account, fully aware that false statements are punished according to law.

Date

Signature

¹ To be filled in with IB for identity bulletin or IC for identity card or PAS for passport, in the case of foreign natural persons.

² To be filled in with the position held: member in the board or administration/supervisory board, replacement of the director/member of the executive board.

³ To be filled in with the name of the investment management company.