



# INFORMATION NOTE

**Lion Capital (the new corporate name starting March 24, 2023, of Societatea de Investiții Financiare Banat-Crișana)** (hereinafter **“Lion Capital”** or **“the Company”**), Romanian company, headquartered in Arad, 35A Calea Victoriei, Arad county, registered with the Trade Register Office of Arad Court under number Arad J02/1898/1992 and in the ASF Register under number PJR07.1AFIAA / 020007 / 09.03.2018, having the Unique Registration Code 2761040,

in accordance with the provisions of Regulation (EU) 2016/679 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data and repealing Directive 95/46/EC (General Data Protection Regulation) (hereinafter named **“Regulation 2016/679”**),

informs persons who submit petitions to the Company (**“Petitioner”**) about the processing of their personal data (the **“Data”**) as follows:

**1. The categories of Data processed:**

- (i) last name, surname;
- (ii) address for correspondence
- (iii) e-mail address;
- (iv) telephone number.

**2. Grounds for processing Data:** The Data are required to be provided by the Petitioner and needed to be processed by the Company to resolve the petition submitted by the Petitioner.

Refusal to provide the Data determines the impossibility of registration and settlement of the petition, as well as contacting the Petitioner by the Company when necessary.

**3. The purposes of processing the Data:** The data will be processed for the purpose of settlement of the petition.

**4. Duration of processing the Data:** The data will be retained for the full duration necessary for the settlement of the petition.

**5. Recipients of the personal Data:** The Data will be used by the Company through its employees having duties in the settlement of petitions or through the members of the management bodies of the Company. Certain Data (e.g., name and surname, mailing address, telephone number) may also be sent to private entities, such as courier or forwarding companies, if the Company sends correspondence to the petitioner.

**6. Transfer of Data:** Data may be transferred to other European Union countries, without the prior consent and prior notification of the Petitioner. If the Company intends to transfer the Data to countries outside the European Union, the Petitioner will be informed in advance.

**7. Access to Data:** The data will be accessed by (i) the person having duties in receiving the petitions and distributing them to the employees with competences in the matters addressed in the petition; (ii) the employee with competence in the issues addressed in the petition, (iii) members of the Company's management bodies only for the purposes set forth in paragraph 3 above, and such persons are required to keep the confidentiality of the Data, being trained in data protection.

**8. Means of the processing of Data:** by automated means and manually.

**9. Obligations of the Company concerning the Data:**

The Company undertakes to:

- a) process the Data according to this information note and specific legislation in force;
- b) process the Data only through secured pages;
- c) confirm to Petitioners the processing or not of the Data upon a dated and signed request submitted in writing to the Company, in the attention of (...);
- d) rectify, update, block, delete or anonymize the data, free of charge, the Data whose processing does not comply with the legal provisions;
- e) terminate free of charge the processing of Data not processed in accordance with the legal provisions;
- f) adopt all necessary security measures to protect Data against unauthorized access to the Data;
- g) facilitate the exercise of the Petitioner's rights with respect to the Data;
- h) notify the competent authorities of breaches in the security of the Data;
- i) inform the Petitioner of a breach of Data security if the breach is likely to create a high risk for his or her rights.

#### **10. Obligations of the Petitioner concerning the Data:**

The Petitioners undertake to:

- a) provide true, accurate and complete Data. If the Data are not true, accurate and complete, shareholders are required to notify the Company as soon as possible to remedy this situation;
- b) update the Data, when the situation so requires, to be true, accurate, and complete.

#### **11. Petitioners' rights concerning the Data:**

Petitioners mainly have the following rights:

- a) the right to access the Data, respectively to (i) obtain from the Company a confirmation that data relating to them is being processed or not; (ii) be informed of the transfer of data to states outside the European Union; (iii) receive from the Company a copy of the Processed Data;
- b) the right to rectify the Data, respectively to obtain the completion of the Data that is incomplete;
- c) the right to delete Data that exceeds the Required Data to be provided for the purposes of paragraph 3 above;
- d) the right to restrict the processing of the Data;
- e) the right to the portability of the Data, i.e. the transmission of the Data directly by the Company to other entities, at the request of the shareholder, if this is feasible from a technical point of view;
- f) the right to oppose the processing of Data that exceeds the Required Data to be provided for the purposes of point 3 above;
- g) the right to address to the competent authorities if they consider that the processing of the Data violates the legal provisions, respectively the National Supervisory Authority for Personal Data Processing in Romania.

In order to exercise the above-mentioned rights, the Petitioners shall submit to the Company a written, dated and signed request, using the following contact details:

Postal address: Lion Capital, 35A Calea Victoriei, postal code 310158, Arad, Arad county, Romania;

Email address: gdpr@sif1.ro.